

0802251

Michael G. Adams  
KY Secretary of StateReceived and Filed  
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# Commonwealth of Kentucky

## Michael G. Adams, Secretary of State

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
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### Annual Report Online Filing

ARP

**Company:** CETERA FINANCIAL GROUP, INC.  
**Company ID:** 0802251  
**State of origin:** Delaware  
**Formation date:** 10/5/2011 12:00:00 AM  
**Date filed:** 6/20/2021 7:24:21 PM  
**Fee:** \$15.00

#### Principal Office

200 N. PACIFIC COAST HWY  
SUITE 1200  
EL SEGUNDO, CA 90245

#### Registered Agent Name/Address

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

#### Current Officers

President	ADAM ANTONIADES	200 N. PACIFIC COAST HWY. Suite 1200, El Segundo CA 90245
Secretary	LISA GOK	200 N. PACIFIC COAST HWY. Suite 1200, El Segundo CA 90245
Treasurer	KEITH SHORES	656 W. BROADWAY 12TH FLOOR SAN DIEGO, CA 92101
Vice President	THOMAS TAYLOR	200 N. PACIFIC COAST HWY. Suite 1200, El Segundo CA 90245

#### Directors

Director	ADAM ANTONIADES	655 W BROADWAY 12TH FL SAN DIEGO CA 92101
Director	JEFFERY BUCHHEISTER	655 W BROADWAY 12TH FL SAN DIEGO CA 92101
Director	THOMAS GOOLEY	200 N. PACIFIC COAST HWY. Suite 1200, El Segundo CA 90245

#### Signatures

Signature	GARY CHAFFIN
Title	TAX OFFICER