

10/15/2018  
0802251

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
10/15/2018 2:50:50 PM  
Fee receipt: \$10.00

P601

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

Statement of Change of  
Registered Office, Registered  
Agent, or Both

RAC

Pursuant to the provisions of KRS chapters 271B, 273, 275, or 362, the undersigned hereby applies to change the registered office, registered agent, or both on behalf of

**CETERA FINANCIAL GROUP, INC.**

which is organized in the state of Delaware, and for that purpose submits the following statements:

**1. Name of current registered agent**

C T CORPORATION SYSTEM

**2. Registered agent is hereby changed to:**

Corporation Service Company

**3. Address of current registered office**

306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**4. Registered office is hereby changed to:**

421 West Main Street  
Frankfort, KY 40601

**5. Signature of officer or chairman of the board**

Jill Cilmi, Vice President  
Signature and Title  
  
Type or print name and title  
  
10/15/2018 2:50 PM  
Date

**6. Consent of new agent**

I consent to serve as the new registered agent on behalf of this corporation.  
  
Corporation Service Company  
Signature and Title  
  
Type or print name and title