

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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Annual Report
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ARP

Company: CETERA FINANCIAL GROUP, INC.
Company ID: 0802251
State of origin: Delaware
Formation date: 10/5/2011 12:00:00 AM
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Principal Office

200 N. SEPULVEDA BLVD.
SUITE 1200
EL SEGUNDA, CA 90245

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Current Officers

CEO	Valeri G Brown	200 N. Sepulveda Blvd. Suite 1200, El Segundo CA 90245
CFO	Jon C Frojen	200 N. Sepulveda Blvd. Suite 1200, El Segundo CA 90245
Secretary	Nina S McKenna	200 N. Sepulveda Blvd. Suite 1200, El Segundo CA 90245
Treasurer	Mark P Shelson	400 1st Street South, Suite 300, St Cloud MN 56301
Assistant Secretary	Greg A Olson	400 1st Street South, Suite 300, St Cloud MN 56301

Directors

Director	Donald B Marron	425 Lexington Ave, New York, NY 10152
Director	Mark F Vassallo	425 Lexington Ave, New York, NY 10152
Director	Stewart K.P. Gross	425 Lexington Ave, New York, NY 10152
Director	Valerie G Brown	425 Lexington Ave, New York, NY 10152
Director	Alice D Schroeder	425 Lexington Ave, New York, NY 10152

Signatures

Signature	Greg A. Olson
Title	Assistant Secretary