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Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0854390 Alison Lundergan Grimes KY Secretary of State Received and Filed

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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ARP

Company: CETERA ADVISOR NETWORKS INSURANCE SERVICES LLC

Company ID: 0854390 State of origin: Delaware

Formation date: 4/4/2013 12:00:00 AM Date filed: 6/16/2015 4:26:55 PM

Fee: \$15.00

Principal Office

400 FIRST STREET SOUTH STE. 300 ST. CLOUD, MN 56301

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W. MAIN STREET SUITE 512 FRANKFORT, KY 40601

Members/Managers

Manager LEANN RUMMEL 400 1ST ST SOUTH ST CLOUD, MN 56301

Manager THOMAS B TAYLOR 200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 Manager DOUGLAS S KING 200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245

Signatures

Signature GREG A OLSON

Title ASSISTANT SECRETARY