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Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0731877 Alison Lundergan Grimes KY Secretary of State Received and Filed 6/25/2013 9:30:59 AM

Fee receipt: \$15.00

Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: CAPSTONE INVESTMENT MANAGEMENT, LLC

Company ID: 0731877 State of origin: Kentucky

Formation date: 6/16/2009 8:26:18 AM Date filed: 6/25/2013 9:30:59 AM

Fee: \$15.00

Principal Office

9900 CORPORATE CAMPUS DR. SUITE 3000 LOUISVILLE, KY 40223

Registered Agent Name/Address

PATRICK T. SCHMIDT 401 W. MAIN STREET SUITE 1400 Louisville, KY 40202

Members/Managers

Member Thomas Allen Douglas Jr. 2906 Brownsboro Vista Dr., Louisville, KY, 40242

Signatures

Signature Thomas Allen Douglas Jr.

Title President