LARP

Commonwealth of Kentucky Michael G. Adams, Secretary of Sta

0936354 Michael G. Adams KY Secretary of State Received and Filed

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Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: EF LEGACY SECURITIES, LLC

Company ID: 0936354
State of origin: Delaware

Formation date: 11/5/2015 12:00:00 AM Date filed: 6/11/2020 12:56:06 PM

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Principal Office

222 SOUTH FIRST STREET, SUITE 600 LOUISVILLE, KY 40202

Registered Agent Name/Address

NATIONAL REGISTERED AGENTS, INC. 306 W. MAIN STREET, SUITE 512 FRANKFORT, KY 40601

Members/Managers

Member ARIA RETIREMENT SOLUTIONS, INC. 505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111

Signatures

Signature EDWARD J. MERCIER
Title MANAGER