## KENTUCKY SECRETARY OF STATE P.O.BOX 718 FRANKFORT, KY 40602

### Certificate of Revocation

I, Trey Grayson, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

# FIRST ALLIED SECURITIES, INC.

did not file its 2009 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the corporation's authority to transact business in Kentucky on November 3, 2009.

#### 0686812

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### IMPORTANT NOTICE

CSC-LAWYERS INCORPORATING SERVICE COMPANY 421 W. MAIN STREET FRANKFORT KY 40601



Trey Grayson Secretary of State